

## Head of Financial Crime Prevention Operations

### About Us

Handelsbanken Wealth & Asset Management is a wholly-owned subsidiary of the Handelsbanken Group and is responsible for all wealth and investment management activities of the Group in the UK. Founded in Sweden, Handelsbanken is one of the world's strongest banks and offers local relationship banking, advice and investment management to personal and corporate customers through its fast-growing network across the UK. For over 30 years we have been relied on by our customers, including business leaders and sophisticated financial professionals, to advise them and to manage their wealth. We focus on one objective – customer satisfaction – since a satisfied customer will be happy to both return and to recommend us.

This is an opportunity to join a successful and fast-growing national organisation with the added benefit of being part of a significant multinational group.

Handelsbanken Group are deeply committed to embedding good equality and diversity practice into all of our activities so that Handelsbanken Wealth & Asset Management is an inclusive, welcoming and inspiring place to work, regardless of age, disability, pregnancy and/or parental status, ethnicity, religion, sex, gender reassignment or sexual orientation.

Handelsbanken is offering a hybrid working model. Our approach to hybrid working at Handelsbanken is that we spend the greater proportion of our working time at our workplace. Our remaining time may be worked from home. This approach allows us to embrace the many benefits of hybrid working whilst sustaining and developing our unique culture.

We encourage and welcome applications from across the global community and all appointments are made solely on merit.

### Remuneration:

Competitive + Benefits

### Our Role

We are recruiting for a Head of Financial Crime Prevention Operations working within the first line of defence.

This is a new role within Handelsbanken Wealth and Asset Management. The successful candidate will initially support the building and establishment of the team within the current project to uplift compliance for Financial Crime Prevention and then evolve into a Business As Usual function to support ongoing compliance with Financial Crime Prevention regulations.

The role can be based in our Tunbridge Wells, London or Manchester offices with travel required to all locations.

### Main Responsibilities

- Report directly to the Head of Operations
- Help to build and develop the team as part of the current project to uplift compliance for Financial Crime Prevention
- Lead, motivate and manage the Financial Crime Prevention Operations Team
- Support, mentor and coach the resources within team
- Develop a high performing team culture through regular communication and team building
- Develop and maintain strong working relationships with key internal stakeholders both in Handelsbanken Wealth and Asset Management (e.g. second line of defence / MLRO) and also the UK parent business (e.g. UK Financial Crime Prevention Department) to ensure financial crime risk across the business is identified and managed effectively

- Maintain detailed knowledge of appropriate legislation, regulations and guidance published by the UK, EU and other regulatory bodies
- Represent Financial Crime Prevention Operations in forums, committees and working groups, as required
- Embed the Handelsbanken culture
- Responsible for driving and ensuring a strong focus on process efficiencies
- Responsible for clear instructions, processes, systems and training to ensure the business complies with all necessary legislative and regulatory requirements
- Contribute to training and development programmes within Financial Crime Prevention
- Assist with supporting Financial Crime Prevention projects
- Responsible for oversight and delivery of action plans to resolve identified weaknesses in controls within first line of defence Financial Crime Prevention
- Collaborate with various stakeholders to ensure Financial Crime risks are identified and appropriately managed
- Raising visibility of Financial Crime Prevention Operations across the business
- Represent Handelsbanken Wealth and Asset Management interests in relation to Financial Crime Prevention with external parties as required, including the FCA and their representatives
- Ensure compliance with Conduct Rules

#### Academic:

- University degree preferred, but not essential
- Financial Crime qualification essential, such as the ICA Diploma in Anti-money Laundering

#### Experience / knowledge / skills:

- A proven track record in team management/Leadership
- A detailed understanding of AML, KYC, Sanctions, Fraud, CTF, Tax Evasion and the UK regulatory environment
- Experience of working within a 1<sup>st</sup> or 2<sup>nd</sup> line Financial Crime Prevention role within Wealth Management preferred
- Ability to apply themselves to problem solving and analysing situations, delivering practical and compliant financial crime prevention controls and solutions
- Strong organisational skills and ability to work well under pressure with attention to detail: achieving the right business culture and behaviours
- Proven ability to lead and motivate a diverse team
- Excellent communication, collaboration, influencing and decision making skills with the ability to demonstrate sound judgement
- Strong report writing and presentation skills,
- Ability to interact with stakeholders at all levels both internally and externally
- Experience and knowledge of financial crime review, investigation, reporting and assurance processes
- Be a self-starter and able to work on individual basis as well as part of a team
- Ability to work to tight deadlines and often under pressure whilst maintaining a high level of quality and competency

#### Person Specification

- Is business-oriented and focussed on the customer
- Takes a long-term approach
- Has the courage to make decisions and question existing procedures
- Is innovative and proactive with a positive mental attitude
- Takes responsibility for his/her own development and actions
- Completes the required annual e-learning in a timely manner and additional learning appropriate to the role and own personal development
- Has high ethical and moral standards
- Maintenance of good administrative order
- The ability to manage workflow and tasks effectively
- Collaborates with others to achieve joint goals towards the business plan with the, team, Operations Department and the business
- Contributes to the development of the business and colleagues

## Skills:

- Strong numeracy skills
- Excellent attention to detail
- Excel Skills – Intermediate
- Word Skills – Intermediate
- PowerPoint Skills – Intermediate

## Contact

Please send your CV and covering letter to [careers.hwam@handelsbanken.co.uk](mailto:careers.hwam@handelsbanken.co.uk)